PTD/SR/06A (08-03)
Approved for use fitrough 07/31/2006. ONE 0551-0031
Trademark Office: U.S. DEPARTMENT OF COMMERCE

	Under th	e Paperwork Redu	ction Act of 1995,	no persons are required to respond to 8 co	faction of information unless it contains a valid CMB control number	s.
Substitute for t	(crm 1449/PTO				Complete if Known	
				Application Number	09/665.303	-
INF	ORMATION	DISCLO	SURE	Filing Date	September 19, 2000	_
	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	John T. Santini, Jr.	•
1 317				Art Unit	3763	•
L				Examiner Name	Cris Rodriquez	
Sheet	1	af	1	Attorney Docket Number	17648-0014	••

Examiner	Cite No.	Patent Document Number	Publication Date	Name of Patentee or	Pages, Columns,	
Initials*		Country Code * Number * Kind Code 2 (7 Incom)	MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages Or Relevant Figures Appear	1
$\mathcal{G}_{\mathcal{W}}$		DE 39 08 822	03-18-1989	Gunther Meinhardt Voss		7
		DD 298 998 A5	07-05-1988	Bauer		7
						E
	• —			****		
_						
 _· ;				,		
			-			E
						F

"EXAMINE'S initial of references considered, whether or not challen is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, whether or not challen is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with need communication to applicant. Applicant's unique chains designation number (options). "See Kinds Codes of USPTO Patent Documents at <u>www.usptic.gov.com</u> MPEP 901.04.
"Enter Office that sauded the document, by the exploration symbols as indicated on the document, the indication of the year of the region of the Emperor must precede the senior unique translation is added to contain a terminal property of the Emperor must precede the document under WIPPO Standard 97.16 if possible. "Applicant is to place a check many here if english tanguage Translation is added. This collection of information is required by 70.7 CFR 1.98. The information is required to obtain or retain a beaming they the public which is to fit (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including sathering, property, and submitting the complete depolication form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require the samples of the public of the position of the posit

JUN 3 0 2005

Substitute for form 1449/PTO INFORMATION DISCLOSURE

Sheet

STATEMENT BY APPLICANT (Use as many sheets as necessary)

of

Complete if Known					
Application Number	09/665,303				
Filing Date	September 19, 2000				
First Named Inventor	John T. Santini, Jr.				
Art Unit	3763				
Examiner Name	Cris Rodriguez				
Attorney Docket Number	17648-0014				

				NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code <sup>2 (f known)</sup>	<u> </u>		Figures Appear
Ch		4,731,051	03-15-1988	Fischell	
		5,041,107	08-20-1991	Heil, Jr.	
		5,102,402	04-07-1992	Dror, et al.	
		5,387,419	02-07-1995	Levy, et al.	
		5,429,822	07-04-1995	Gresser, et al.	
		5,449,382	09-12-1995	Dayton	
		5,518,680	05-21-1996	Cima, et al.	
		5,533,995	07-09-1996	Corish, et al.	
		5,609,629	03-11-1997	Fearnot, et al.	
		5,707,385	01-13-1998	Williams	
		5,769,884	06-23-1998	Solovay	
		5,770,076	01-13-1998	Chu, et al.	
		5,843,172	12-01-1998	Yan	
		5,873,904	02-23-1999	Ragheb, et al.	
		5,879,697	03-09-1999	Ding, et al.	
		5,893,840	04-13-1999	Hull, et al.	
		5,951,881	09-14-1999	Rogers, et al.	
		5,972,027	10-26-1999	Johnson	
		6,056,734	05-02-2000	Jacobsen, et al.	
		6,071,305	06-06-2000	Brown, et al.	
1		6,096,070	08-01-2000	Ragheb, et al.	
		6,099,561	08-08-2000	Alt	
_		6,114,658	09-05-2000	Roth, et al.	
1		6,163,720	12-19-2000	Gyory, et al.	
1		6,197,013 B1	03-06-2001	Reed, et al.	
1		6,241,762 B1	06-05-2001	Shanley	
		6,245,104 B1	06-12-2001	Alt	
		6,253,443 B1	07-03-2001	Johnson	
		6,261,584	07-17-2001	Peery, et al.	
1-1		6,273,913 B1	08-14-2001	Wright, et al.	
+		6,331,439 B1	12-18-2001	Cheruki, et al.	
1		6,334,856 B1	01-01-2002	Allen, et al.	
		6,334,859	01-01-2002	Richter	
1-1		6,361,522 B1	03-26-2002	Scheiner, et al.	
1		6,364,856 B1	04-02-2002	Ding, et al.	
<del>-  </del>		6,379,382 B1	04-30-2002	Yang	
1 1		6,387,121 B1	05-14-2002	Alt	
<del>                                     </del>		6,391,052 B2	05-21-2002	Buirge, et al.	
1		6,491,666 B1	12-10-2002	Santini, Jr., et al.	
+ +		6,530,951	03-11-2003	Bates, et al.	
ch		6,562,065 B1	05-13-2003	Shanley	
xaminer ignature	T	Chr	1 00 10 2000	Date Considered	11/9/05

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (MPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

JUN 3 0 2005

Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known					
09/665,303					
September 19, 2000					
John T. Santini, Jr.					
3763					
Cris Rodriguez					
17648-0014					

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Ch	† — —	6,596,296 B1	07-22-2003	Nelson, et al.		
		6,652,582 B1	11-25-2003	Stinson		
		2001/0034550 A1	10-25-2001	Buirge, et al.		
		2002/0007215 A1	01-17-2002	Falotico, et al.		
		2002/0107470 A1	08-08-2002	Richards, et al.		
er		2004/0254635 A1	12-16-2004	Shanley et al.		
	ļ		-			
			<u> </u>			
			<del> </del>			

Examiner Initials*	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines,	
muais	NO.	Country Code * Number * Kind Code² (f	MM-DD-YYYY	Applicant or Cited Document	Where Relevant Passages Or Relevant Figures Appear	Τ°
ch	-	EP 0850604 A2	01-07-1998	Sorin Biomedica S.p.A		
i_		WO 98/23228 A1	06-04-1998	Alza Corporation		
		WO 99/55396 A1	11-04-1999	Surmodics Inc.		
		WO 99/16500 A2	04-08-1999	C.R. Bard Inc.		
		DD 296 998 A5	10-27-1983	Bauer, Michael		
Chr		DE 39 08 822 A1	03-18-1989	Erfinder wird spätter genannt werden		
						二
Examiner Signature		$\bigcap$ $D$		Date Considered	110/05	<u> </u>

<sup>\*</sup>EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (MIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under MIPO Standard ST.16 If possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

MICROCHIP DRUG DELIVERY DEVICES

**Application Number:** 

09/665303

Confirmation Number:

5521

First Named Applicant:

John Santini

Attorney Docket Number:

17648-0014

Art Unit:

3763

Examiner:

Search string:

( 3921636 or 4874500 or 4919666 or 5891108 or 5947893 or 6273908 or 6287628 or 4919666 or 3921636 or 6395326 or 6558422 or 6638302 or 6709379 or 6783543

or 20050203609 or 20050203608 or 20050055080 or 20040243241 or

20030108659 or 20020038146 ).pn

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
ch	. 1	3921636	1975-11-25	Zaffaroni		13	20
	2	4874500	1989-10-10	Madou		204	412
П	3	4919666	1990-04-24	Buchhorn		623	16
	4	5891108	1999-04-06	Leone		604	264
	5	5947893	1999-09-07	Agrawal		600	36
$\prod$	6	6273908	2001-08-14	Ndondo-Lay	B1	623	1
$\prod$	7	6287628	2001-09-11	Hossainy	B1	427	23
$\prod$	8	4919666	1990-04-24	Buchhorn		623	16
$\prod$	9	3921636	1975-11-25	Zaffaroni		13	20
	10	6395326	2002-05-28	Castro	B1	427	224
	11	6558422	2003-05-06	Baker	B1	623	1611
$\prod$	12	6638302	2003-10-28	Curcio	B1	623	146
	13	6709379	2004-03-23	Brandau	B1	600	3
Om	14	6783543	2004-08-31	Jang	B1	623	115

### **US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
1 1 1						

ch	1	20050203609	2005-09-15	Shanley	A1	623	115
	2	20050203608	2005-09-15	Shanley	A1	623	115
	3	20050055080	2005-03-10	Istephanous	A1	623	113
$\Pi$	4	20040243241	2004-12-02	Istephanous	A1	623	1714
	5	20030108659	2003-06-12	Bales	A1	427	224
Clr~	6	20020038146	2002-03-28	Harry	A1	632	116

## Signature

Examiner Name	Date
Chr	11/9/05